## A Long Exact Sequence in Symmetry Breaking

order parameter constraints, defect anomaly-matching, and higher Berry phases

## Cameron Krulewski (MIT) and Yu Leon Liu (Harvard)

Joint with Arun Debray, Sanath Devalapurkar, Natalia Pacheco-Tallaj, and Ryan Thorngren arXiv: 2309.16749

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## Outline

(1) Physics of SBLESs

- Background on Anomalies
- Symmetry Breaking Long Exact Sequence
- Residual Anomaly Map
- Defect Anomaly Map
- Index Map
(2) Math and Applications
- Deriving the SBLES
- Application 1: Computing Anomaly Matching
- Application 2: Computing Anomaly Groups
- Bonus: Periodic Families


## End Goal: Symmetry Breaking Long Exact Sequence



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- Bulk-boundary: $\beta$ is a $D+1$-dim'l SPT and $Z$ is a boundary theory of $\beta$.
- Mathematical classification: the anomaly $\beta \in \Omega_{G}^{D+1}$ lives in a cohomology class (more on this in the math section) [Kap14; Kap+15; FH21].


## Families of Anomalies

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## Motivation: $\rho$-gappability

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## Definition

A theory is $\rho$-gappable if there are order parameters $\left(\mathcal{O}_{1}, \mathcal{O}_{2}, \ldots, \mathcal{O}_{k}\right)$, transforming in $\rho$ under $G$, such that $H_{\left(c_{1}, \ldots, c_{n}\right)}=H_{0}+\sum_{j} c_{j} \int d^{D} x \mathcal{O}_{j}(x)$ has a gapped, nondegenerate ground state for large enough radius $R=\sum_{j}\left|c_{j}\right|^{2}$.

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- e.g.: Consider a $3+1$ Dirac fermion $\psi$ with anomalous $G=U(1)_{L}$ symmetry. It is $\rho$-gappable for $\rho=\underline{1}$, given by the Dirac mass terms $\left(\mathcal{O}_{1}=\bar{\psi} \psi, \mathcal{O}_{2}=i \bar{\psi} \gamma^{5} \psi\right)$.


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- $\operatorname{Res}_{\rho}(\beta)$ is the obstruction to gapping $Z$ over $S(\rho)$ :
$Z$ is $\rho$-gappable if and only if $\operatorname{Res}_{\rho}(\beta)=0$.


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- Take $\rho=\rho_{0} \oplus \rho_{0}$. Is the theory $\rho$-gappable? That is, can we find two $T$-odd operators $\mathcal{O}_{1}, \mathcal{O}_{2}$ such that

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- We claim that the answer is no! There are no operators $\mathcal{O}_{1}, \mathcal{O}_{2}$ that can make this happen!


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- Claim: The number of $p \pm i p$ pumped mod 2 across half an arc is an invariant.



## Anomaly Analysis

- Let's check this on anomalies. The Majorana fermion's anomaly is $1 \in \Omega_{\text {Pin }^{+}}^{4}=\mathbb{Z}_{16}$ [Wit16]. $\Omega_{\text {Pin }^{+}}^{4}\left(S^{1}\right)=\mathbb{Z}_{2}$ counts the number of $p \pm i p \bmod 2$ across the arc.


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- Our example above fixes the residual anomaly map

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\operatorname{Res}_{\rho}: \Omega_{\mathrm{Pin}^{+}}^{4} \longrightarrow \Omega_{\mathrm{Pin}^{+}}^{4}\left(S^{1}\right) \\
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- Consequence I: For any choices of $T$-odd $\mathcal{O}_{1}, \mathcal{O}_{2}$, the number of $p \pm i p$ 's pumped across half an arc is odd.
- Consequence II: The $2+1 \mathrm{D}$ Majorana fermion is not $\rho$-gappable.

Symmetry Breaking Long Exact Sequence

## Recap I

$$
\Omega_{G}^{D+1} \xrightarrow[\operatorname{Res}_{\rho}]{ } \Omega_{G}^{D+1}(S(\rho))
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- This question was answered in [HKT20b] (in the case that $G=\mathbb{Z}_{2}$ ):
- Given a $\rho$-gapping, where the the order parameter is $\phi \in V_{\rho}$, we can create a defect system by letting $\phi$ vary in space with the form:

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\phi=\left(v_{1} x_{1}+\ldots+v_{k} x_{k}\right) / \sqrt{\sum_{i} x_{i}^{2}}
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for large $R=\sqrt{\sum_{i} x_{i}^{2}}$, where the $v_{i}$ form an orthonormal basis of $V_{\rho}$.

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- Examples: domain walls $(k=1)$, vortices $(k=2)$, and hedgehogs $(k=3)$.


## Defect Anomaly Matching

- Since $\phi=0 \in V_{\rho}$ is a fixed point under $G$, the defect theory $Z_{D}$ has $G_{\rho}$ symmetry ${ }^{1}$ and anomaly $\alpha \in \Omega_{G_{\rho}}^{D+1-k}$.

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- The anomaly matching condition [HKT20b]:

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- Consider a $3+1$ Dirac fermion $\psi$ with $G=U(1)_{L}$. Its anomaly polynomial is

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\beta=\frac{1}{6}\left(c_{1}\right)^{3}-\frac{1}{24} c_{1} p_{1}(T X),
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- The defect anomaly polynomial is

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\begin{equation*}
\alpha=\frac{1}{8}\left(c_{1}\right)^{2}-\frac{1}{24} p_{1}(T Y), \tag{1}
\end{equation*}
$$

where $Y$ is the zero section of a generic section $s: X \rightarrow E_{\rho}=P \times{ }_{U(1) L} V_{\rho}$.
${ }^{2}$ Anomaly polynomials are $D+2$ dimensional characteristic classes whose Chern-Simons form is the anomaly.

## Example： $3+1$ Dirac Fermions II

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- Plugging in, we get

$$
\operatorname{Def}_{\rho}(\alpha)=\frac{1}{6}\left(c_{1}\right)^{3}-\frac{1}{24} c_{1} p_{1}(T X)=\beta
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- This is exact at $\Omega_{G}^{D+1}$; i.e., $\operatorname{Res}_{\rho}(\beta)=0$ if and only if there is an $\alpha \in \Omega_{G_{\rho}}^{D+1-k}$ such that $\beta=\operatorname{Def}_{\rho}(\alpha)$.


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- $\beta$ is the anomaly of the defect system created via the $\rho$-gapping.


## Ambiguity in Defect Anomaly Matching

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- Question: What is the ambiguity in the defect anomaly map?
- The defect comes from a $\rho$-gapping, which assigns a nondegenerate ground state to each point on the sphere $S(\rho)$. This invertible family is not typically free of $G$-anomalies, but it is when $\beta=0$. Therefore the $\rho$-gapping defines a $D$-dim'। SPT class

$$
\gamma \in \Omega_{G}^{D}(S(\rho))
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H_{\left(c_{1}, \ldots, c_{n}\right)}=H_{0}+\sum_{j} c_{j} \int d^{D} x \mathcal{O}_{j}(x)
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Since $Z$ is anomaly-free, let's assume $H_{0}$ has a symmetric non-degenerate ground state. $H_{c_{1}, \cdots, c_{k}}$ is also gapped for large $R=\sum_{j}\left|c_{j}\right|^{2}$.

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H_{\left(c_{1}, \ldots, c_{n}\right)}=H_{0}+\sum_{j} c_{j} \int d^{D} x \mathcal{O}_{j}(x)
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Since $Z$ is anomaly-free, let's assume $H_{0}$ has a symmetric non-degenerate ground state. $H_{c_{1}, \cdots, c_{k}}$ is also gapped for large $R=\sum_{j}\left|c_{j}\right|^{2}$.

- If $\gamma$ describes a nontrivial SPT, then there is some point $\left(c_{1}, \ldots, c_{n}\right)$ with radius $r \leq R$ such that $H_{\left(c_{1}, \ldots, c_{n}\right)}$ fails to be nondegenerately gapped.


## D - 1-dim'I Boundary Theory

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- Viewing the $S^{1}$ parameter theory at $r=1$ as the boundary of $\gamma$, we see that when we adiabatically vary the $S^{1}$ parameter $\phi$, we pump a quantized charge to the boundary [Tho83].


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- The first group counts the charges pumped when we vary $S^{1}$ parameter $\phi$; the latter computes the $U(1)$ charge of the $\phi$-vortex.


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## Symmetry Breaking Long Exact Sequence

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## Part II：Math and Applications

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## SBLES as Induced by a Map of Spectra

$M T$ Spin $\wedge B G$



## SBLES as Induced by a Map of Spectra

- Specialize to fermions.



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- Specialize to fermions.
- Idea: Fiber sequence of spectra $\stackrel{\text { take cohomology }}{\rightsquigarrow}$ long exact sequence



## Deriving the SBLES

## Example SBLES and Map of Spectra

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- Symmetry-breaking order parameter: $\rho=\sigma$, the sign representation of $\mathbb{Z} / 2$
- $\Omega_{\text {Spin } \times \mathbb{Z} / 2}^{D}=\Omega_{\mathbb{Z} / 2^{\rho}, f}^{D}$ : fermions with internal $\mathbb{Z} / 2$ unitary symmetry $U^{2}=1$.



## Tangential Structures

－A stable tangential structure is a map $\xi: B \rightarrow B O$ ． A manifold $X$ has $\xi$－structure if the classifying map $f$ of $T X$ has a lift to the space $B$ ．


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Madsen－Tillman Spectra and Anomalies

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## Theorem (Pontrjagin-Thom)

$\pi_{d}(M T \xi) \cong \Omega_{d}^{\xi}=\{$ manifolds with $(B, \xi)$-structure $\} / \sim$.

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## Madsen－Tillman Spectra and Anomalies－Takeaway

－Fix a stable tangential structure $\xi: B \rightarrow B O$（e．g．$\xi: B$ Pin $^{+} \rightarrow B O$ for fermions with $\left.T^{2}=(-1)^{F}\right)$
－＂Definition＂：The Madsen－Tillman spectrum MT $\xi$ is the Thom spectrum of the inverse of $\xi$ ，written $B^{-\xi}$ ．（e．g．MTPin ${ }^{+}$）
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Proposition
Let $p: S(\rho) \rightarrow B$ be the projection. There is a (co)fiber sequence of spectra

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Examples

- MTSpin $\rightarrow M T \mathrm{Pin}^{+} \xrightarrow{\mathrm{sm}_{q}} \Sigma M T(\operatorname{Spin} \times \mathbb{Z} / 2)$


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S(\rho)^{{ }^{\circ} \xi} \longrightarrow B^{-\xi} \xrightarrow{\text { sm}} B^{-\xi+\rho} .
$$

Examples

- $M T$ Spin $\rightarrow M T \mathrm{Pin}^{+} \xrightarrow{\mathrm{sm}_{q}} \Sigma M T(\operatorname{Spin} \times \mathbb{Z} / 2)$
- MTSpin $\rightarrow$ MTSpin $\wedge B U(1) \xrightarrow{\mathrm{sm}_{\sim}} \Sigma^{2} M T \operatorname{Spin}^{c}$


## Fiber Sequence-Examples

- Recall $M T \xi=B^{-\xi}$.


## Proposition

Let $p: S(\rho) \rightarrow B$ be the projection. There is a (co)fiber sequence of spectra

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Examples

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- MTSpin $\rightarrow$ MTSpin $\wedge B U(1) \xrightarrow{\mathrm{sm}_{\gamma}} \Sigma^{2} M T$ Spin $^{c}$
- MTSpin $\wedge \Sigma_{+}^{\infty-1} \mathbb{R} P^{2} \rightarrow M T \mathrm{Pin}^{-} \xrightarrow{\mathrm{sm}_{2}} \Sigma^{2} M T \mathrm{Pin}^{+}[\mathrm{KT90}]$


## Review：SBLES as Induced by a Map of Spectra

$M T \operatorname{Spin} \wedge B G$



## Review: SBLES as Induced by a Map of Spectra

- Recall: Anomalies are classified by $\Omega_{\xi}^{D+1}=I_{\mathbb{Z}}^{D+2}(M T \xi)$
$M T \operatorname{Spin} \wedge B G$
 $M T$ Spin $\wedge S(\rho)^{p^{*} \xi}$



## Review: SBLES as Induced by a Map of Spectra

- Recall: Anomalies are classified by $\Omega_{\xi}^{D+1}=I_{\mathbb{Z}}^{D+2}(M T \xi)$
- Idea: Fiber sequence of spectra $\stackrel{\text { take cohomology }}{\rightsquigarrow}$ long exact sequence
$M T \operatorname{Spin} \wedge B G$
 $M T \operatorname{Spin} \wedge S(\rho)^{p^{*} \xi}$



## Part II：Math and Applications

（1）How to mathematically derive the SBLES
（2）How to apply it
（1）Computing Def $_{\rho}$ to perform anomaly matching
（2）Computing anomaly groups

## Defect Anomaly Matching Maps－Example

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$$
\operatorname{Def}_{\sigma}: \mathbb{Z} / 8 \oplus \mathbb{Z} \longrightarrow \mathbb{Z} / 16
$$

- Turns out, this is $(a, b) \mapsto b-2 a$, where $b$ tracks the gravitational anomaly of the defect theory and $a$ tracks the internal $\mathbb{Z} / 2$ anomaly [HKT20b]


## Spin $\times \mathbb{Z} / 2 \rightsquigarrow$ Pin $^{+}$Defect Matching Maps

|  | $\Omega_{\text {Spin } \times \mathbb{Z} / 2}^{*-1}$ | $\Omega_{\text {Pin }^{+}}^{*}$ |
| :---: | :---: | :---: |
| -1 | 0 | 0 |
| 0 | $\mathbb{Z} \xrightarrow{?} \mathbb{Z} / 2$ |  |
| 1 | 0 | 0 |
| 2 | $(\mathbb{Z} / 2)^{2} \xrightarrow{?} \mathbb{Z} / 2$ |  |
| 3 | $(\mathbb{Z} / 2)^{2} \xrightarrow{?} \mathbb{Z} / 2$ |  |
| 4 | $\mathbb{Z} \oplus \mathbb{Z} / 8 \xrightarrow{?} \mathbb{Z} / 16$ |  |

## Application 1: Computing Anomaly Matching

## Spin $\times \mathbb{Z} / 2 \rightsquigarrow$ Pin $^{+}$SBLES

|  | $\Omega_{\text {Spin } \times \mathbb{Z} / 2}^{*-1}$ | $\Omega_{\text {Pin }^{+}}^{*}$ | $\Omega_{\text {Spin }}^{*}$ |
| :---: | :---: | :---: | :---: |
| -1 | 0 | 0 | $\mathbb{Z}$ |
| 0 | $\mathbb{Z}_{\mathbb{Z}} \longrightarrow \mathbb{Z} / 2$ | 0 |  |
| 1 | 0 | 0 | $\mathbb{Z} / 2$ |
| 2 | $(\mathbb{Z} / 2)^{2} \longrightarrow \mathbb{Z} / 2$ | $\mathbb{Z} / 2$ |  |
| 3 | $(\mathbb{Z} / 2)^{2} \longrightarrow \mathbb{Z} / 2$ | $\mathbb{Z}$ |  |
| 4 | $\mathbb{Z} \oplus \mathbb{Z} / 8 \longrightarrow \mathbb{Z} / 16$ | 0 |  |

## Part II: Math and Applications

(1) How to mathematically derive the SBLES
(2) How to apply it
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## LES for Anomaly Group Computations

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- Recall: Smith maps $\mathrm{sm}_{\rho}$ are dual to defect maps $\operatorname{Def}_{\rho}$
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- Example: $\sigma$-twisted bordism of $\mathbb{R} P^{2}$
- Other examples: [Deb+23] studying the Swampland Cobordism Conjecture


## Pin ${ }^{ \pm}$Long Exact Sequence in Bordism

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- Consider $\rho=2 \sigma$ and fermionic theories with internal time reversal [KT90]:

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\operatorname{sm}_{2 \sigma}: \Omega_{d}^{\mathrm{Pin}^{-}} \longrightarrow \Omega_{d-2}^{\mathrm{Pin}^{+}} .
$$

- The fiber sequence inducing this is

$$
M T \operatorname{Spin} \wedge \Sigma^{-1} \mathbb{R} P^{2} \longrightarrow M T \mathrm{Pin}^{+} \xrightarrow{\mathrm{sm}_{2} \rho} \Sigma^{2} M T \mathrm{Pin}^{-}
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$$

- To fill in the LES, we need to compute

$$
\pi_{*}\left(M T \operatorname{Spin} \wedge \Sigma^{-1} \mathbb{R} P^{2}\right) \cong \widetilde{\Omega}_{*+1}^{\text {Spin }}\left(\mathbb{R} P^{2}\right) \cong \Omega_{*}^{\text {Spin }}\left(\mathbb{R} P^{1}, \sigma\right)
$$

## Pin ${ }^{ \pm}$Long Exact Sequence in Bordism-[KT90] Computation

## Pin ${ }^{ \pm}$Long Exact Sequence in Bordism-[KT90] Computation

- Kirby-Taylor observed [KT90] that the degree-two map

$$
\mathbb{S} \xrightarrow{\cdot 2} \mathbb{S} \longrightarrow \Sigma_{+}^{\infty-1} \mathbb{R} P^{2}
$$

induces $\cdot 2$ on spin bordism (dual to anomaly groups):

$$
\Omega_{*}^{\text {Spin }} \xrightarrow{\cdot 2} \Omega_{*}^{\text {Spin }} \longrightarrow \Omega_{*}^{\text {Spin }}\left(\mathbb{R} P^{1}, \sigma\right) .
$$

## LES Partially Determining $\Omega_{*}^{\mathrm{Spin}}\left(\mathbb{R} P^{1}, \sigma\right)$

| $*$ | $\Omega_{*}^{\text {Spin }}$ | $\Omega_{*}^{\text {Spin }}$ | $\Omega_{*}^{\text {Spin }}\left(\mathbb{R} P^{1}, \sigma\right)$ |
| :--- | :---: | :---: | :--- |
| 5 | 0 | 0 |  |
| 4 | $\mathbb{Z}$ | $\mathbb{Z}$ |  |
| 3 | 0 | 0 |  |
| 2 | $\mathbb{Z} / 2$ | $\mathbb{Z} / 2$ |  |
| 1 | $\mathbb{Z} / 2$ | $\mathbb{Z} / 2$ |  |
| 0 | $\mathbb{Z}$ | $\mathbb{Z}$ |  |

## LES Partially Determining $\Omega_{*}^{\text {Spin }}\left(\mathbb{R} P^{1}, \sigma\right)$



## Resolving the Extension Question with the Smith LES

| $*$ | $\Omega_{*}^{\text {Spin }}\left(\mathbb{R} P^{1}, \sigma\right)$ | $\Omega_{*}^{\text {Pin }^{-}}$ |
| :---: | :---: | :---: |
| 6 | $\mathbb{Z} / 16$ | $\Omega_{*-2}^{\text {Pin }^{+}}$ |
| 5 | 0 | $\mathbb{Z} / 16$ |
| 4 | $\mathbb{Z} / 2$ |  |
| 3 | 0 | $\mathbb{Z} / 2$ |
| 2 | 0 | 0 |
| 1 | $\mathbb{Z} / 8$ | $\mathbb{Z} / 2$ |
| 0 | $\mathbb{Z} / 2$ | 0 |

## Resolving the Extension Question with the Smith LES

| $*$ | $\Omega_{*}^{\text {Sin }}\left(\mathbb{R} P^{1}, \sigma\right)$ | $\Omega_{*}^{\text {Pin }^{-}}$ | $\Omega_{*-2}^{\text {Pin }^{+}}$ |
| :---: | :---: | :---: | :---: |
| 6 | 0 | $\mathbb{Z} / 16 \longrightarrow \mathbb{Z} / 16$ |  |
| 5 | 0 | 0 | $\mathbb{Z} / 2$ |
| 4 | $\mathbb{Z}_{2} / 2$ | 0 | $\mathbb{Z} / 2$ |
| 3 | $\mathbb{Z}^{2} / 2$ | 0 | 0 |
| 2 | $\mathbb{Z} / 4 \longrightarrow \mathbb{Z} / 8 \longrightarrow \mathbb{Z} / 2$ | 0 |  |
| 1 | $\mathbb{Z} / 2 \longrightarrow \mathbb{Z} / 2$ | 0 |  |

## [Optional:] Twisted Tangential Structures and Shearing

## Definition

Let $V \rightarrow X$ be a virtual bundle. An $(X, V)$-twisted spin structure on a vector bundle $E \rightarrow M$ is

- a map $f: M \rightarrow X$
- a spin structure on $E \oplus f^{*} V$
- Manifolds with $(X, V)$-twisted spin structures live in $\pi_{*}\left(M T \operatorname{Spin} \wedge X^{V-r}\right)$.

Examples

- $\mathrm{Pin}^{+}$-structures $\leftrightarrow(B \mathbb{Z} / 2, \sigma)$-twisted spin structures
- check $w_{2}(E)=0 \Longleftrightarrow E \oplus 3 \operatorname{Det}(E)$ is spin
- MTPin ${ }^{+} \simeq M T \operatorname{Spin} \wedge(B \mathbb{Z} / 2)^{3 \sigma-3}$
- Spin $^{c}$-structures $\leftrightarrow(B U(1), \gamma)$-twisted spin structures
- $M T \operatorname{Spin}^{c} \simeq M T \operatorname{Spin} \wedge B U(1)^{\gamma-1}$

Thanks for coming!

## Examples of Periodic Families

Smith homomorphisms often occur in periodic families:

- 1-periodic family ([CF64]):

$$
\Omega_{d}^{O \times \mathbb{Z} / 2} \xrightarrow{\mathrm{sm}_{G}} \Omega_{d-1}^{O \times \mathbb{Z} / 2} \xrightarrow{\mathrm{sm}_{G}} \Omega_{d-2}^{O \times \mathbb{Z} / 2} \longrightarrow \ldots
$$

- 2-periodic family ([KT90; Sto88]):

$$
\Omega_{d}^{\text {Spin }^{\mathrm{sm}_{\mathcal{W}}}} \Omega_{d-2}^{\text {Spin }^{c} \mathrm{sm}_{\mathcal{Z}}} \Omega_{d-4}^{\text {Spin }^{\mathrm{sm}_{\mathcal{W}}}} \Omega_{d-6}^{\text {Spin }^{c}} \longrightarrow \ldots
$$

- 4-periodic family ([HKT20b; BC18; Sto88; KT90; Pet68]):

$$
\Omega_{d}^{\mathrm{Spin} \times \mathbb{Z} / 2} \xrightarrow{\mathrm{sm}_{q}} \Omega_{d-1}^{\mathrm{Pin}^{-}} \xrightarrow{\mathrm{sm}_{\mathscr{C}}} \Omega_{d-2}^{\mathrm{Spin}_{\mathbb{Z}} / 2 \mathbb{Z} / 4} \xrightarrow{\mathrm{sm}_{\mathscr{G}}} \Omega_{d-3}^{\mathrm{Pin}^{+}} \xrightarrow{\mathrm{sm}_{G}} \Omega_{d-4}^{\mathrm{Spin} \times \mathbb{Z} / 2} \longrightarrow \ldots
$$

## Untwisting

Idea: Let $\rho$ be the $k$-dim'l twisting datum.

- periodic Smith families (with period $n$ ) occur when $n \rho$ is appropriately oriented.
- in that case, the spectrum untwists:

$$
M T H \wedge X^{n \rho} \simeq M T H \wedge \Sigma^{k n} X
$$

## The Spin Case

There is an isomorphism of MTSpin-modules

$$
M T \operatorname{Spin} \wedge X^{n \rho} \simeq M T \operatorname{Spin} \wedge \Sigma^{n k} X
$$

if and only if $n \rho$ has a spin structure.

- The order of the image of $\rho \in[X, B O]$ under the homomorphism $[X, B O] \rightarrow[X, B O / B$ Spin $]$ determines periodicity


## Untwisting

Idea: When $n \rho$ is appropriately oriented, the spectrum untwists:

$$
M T H \wedge X^{n \rho} \simeq M T H \wedge \Sigma^{k n} X
$$

Examples

- $n=1$ : $X=B \mathbb{Z} / 2$; no orientation condition for $\sigma$
- $M T O \wedge(B \mathbb{Z} / 2)_{+}^{\sigma} \simeq M T O \wedge \Sigma(B \mathbb{Z} / 2)_{+}$
- $n=2: X=B U(1) ; 2 \gamma$ is spin
- check: for any complex vector bundle $E, E$ is oriented, and $2 E$ is spin
- MTSpin $\wedge B U(1)^{2 \gamma} \simeq M T \operatorname{Spin} \wedge \Sigma^{4} B U(1)$
- $n=4: X=B \mathbb{Z} / 2 ; 4 \sigma$ is spin
- check: for any real bundle $E, 2 E$ is oriented, and $4 E$ is spin
- MTSpin $\wedge(B \mathbb{Z} / 2)_{+}^{4 \sigma} \simeq M T \operatorname{Spin} \wedge \Sigma^{4} B \mathbb{Z} / 2$.


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